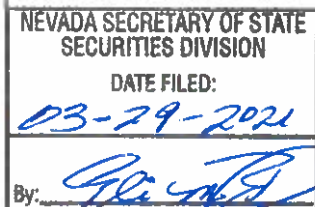


**STATE OF NEVADA**  
**OFFICE OF THE SECRETARY OF STATE**  
**SECURITIES DIVISION**  
2250 LAS VEGAS BOULEVARD NORTH, SUITE 400  
LAS VEGAS, NEVADA 89030

In the Matter of: )  
 ) ADMINISTRATIVE CONSENT ORDER  
EA WEALTH MANAGEMENT, CRD No. )  
174446; and ERIK ALLISON, CRD No. ) File No. CIC20-066  
5639413, an individual. )  
 )  
Respondents. )

TO: EA WEALTH MANAGEMENT  
c/o Erik Allison  
1980 Festival Plaza Drive, Suite 425  
Las Vegas, NV 89135



**WHEREAS**, Respondent EA WEALTH MANAGEMENT (EA WEALTH), CRD No. 174446, is a registered investment advisory firm located at 1980 Festival Plaza Dr. Suite 425 Las Vegas, NV 89135;

**WHEREAS**, Respondent Erik Allison, CRD No. 5639413, is a registered investment adviser representative of EA Wealth, employed at EA Wealth's offices at 1980 Festival Plaza Drive, Suite 425, Las Vegas, NV 89135;

**WHEREAS**, on June 25, 2020, Compliance/Audit Investigators with the Nevada Securities Division, Office of the Secretary of State (Division), pursuant to the Administrator's authority under NRS § 90.410, conducted an inspection of Respondent EA Wealth and Respondent Erik Allison (collectively Respondents), to verify compliance with Nevada's Uniform Securities Act (Act);

**WHEREAS**, Respondents failed to file the required annual updating amendments to the Form ADV since the firm's inception in 2015, and annual update filings have not been made for the years 2016, 2017, and 2018, and 2019 as required by NAC 90.380(3);

**WHEREAS**, it is a violation of NAC § 90.380(3) to fail to file required annual updating amendments to the Form ADV;

**WHEREAS**, Respondents have advised the Division of their desire to resolve the above failures to comply with the requirements of the Act, on the terms specified within this Administrative Consent Order;

**WHEREAS**, Respondents elect to permanently and expressly waive any right to a hearing and appeal under the Act and/or to seek judicial review under the Nevada Administrative Procedure Act, NRS Chapter 233B, with respect to this Order.

**NOW THEREFORE**, the Administrator, pursuant to the Act, hereby enters the following:

**ORDER**

Finding the following appropriate and in the public interest, and on the basis of the foregoing, and Respondents consent to the entry of this Order,

**IT IS HEREBY ORDERED:**


1. Respondents will cease from violating the Act and will comply with said Act.
2. Respondents will pay the Nevada Secretary of State a civil penalty in the cumulative amount of Two Thousand Five Hundred Dollars and No Cents (\$2,500.00), due upon the signing of Respondent's consent to entry of this Administrative Order.
3. Respondents shall submit a check made payable to the Secretary of State in the amount of \$958.00 for its fee for the inspection of Respondent's records performed under NRS § 90.410.
4. All fees submitted under this section shall be remitted to:

Nevada Secretary of State  
c/o Stacey Roter  
2250 Las Vegas Blvd. North, Suite 400  
North Las Vegas, NV 89030

5. In consideration, the Division will take no further enforcement action based upon the circumstances covered by this inspection and this Order and close its administrative investigation of the Respondents in connection with the aforementioned activities.
6. Nothing in this Order shall be construed as a waiver of the Division's right to investigate and pursue any violations by Respondents in connection with other activity not set forth herein.
7. Nothing in this Order is intended to limit or create for third parties any private remedies against Respondents.
8. This Order shall be effective as of the date on which it is signed by the Administrator, as set forth below.  
Dated this 29th day of ~~January~~ MARCH, 2021.

**BY ORDER OF THE ADMINISTRATOR**

Nevada Securities Division, Office of the Secretary of State

  
ERIN M. HOUSTON

Deputy Secretary for Securities  
Securities Administrator

**CONSENT TO ENTRY OF ADMINISTRATIVE ORDER**

EA Wealth Management, by and through its authorized representative, Erik Allison, and Erik Allison, as an individual, (collectively, Respondents) hereby acknowledge that they have been served with a copy of this Administrative Consent Order (ACO), have waived the same.

Respondents admit the jurisdiction of the Securities Division, of the Office of the Secretary of State, State of Nevada and consent to entry of this ACO by the Administrator of the Division as settlement of the issues contained in this ACO.

Respondents aver that no promise of any kind or nature, other than the consideration set forth in this ACO, was made to Respondents to induce them to enter into this ACO, and that they have entered into this ACO voluntarily.

Dated this 29th day of ~~January~~ MARCH, 2021.

EA WEALTH MANAGEMENT

By:

A handwritten signature in black ink, appearing to read 'Erik Allison', written over a horizontal line.

Erik Allison

Authorized Representative, and in his individual  
capacity