

STATE OF NEVADA
OFFICE OF THE SECRETARY OF STATE
SECURITIES DIVISION

2250 LAS VEGAS BOULEVARD NORTH, SUITE 400
NORTH LAS VEGAS, NEVADA 89030

In the Matter of:

**WAVETECH ENTERPRISES, LLC, DEL
MAR INVESTMENTS, INC, and JOHN
MOIR**

Respondents.

ADMINISTRATIVE CONSENT ORDER

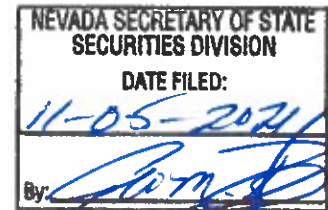
File No. I17-018

TO:

**Wavetech Enterprises, LLC
c/o Laughlin Associates, Inc., (Registered Agent)
9120 Double Diamond Pkwy., Suite 4400
Reno, NV 89521**

**Del Mar Investments, Inc.
9120 Double Diamond Pkwy., Suite 4400
Reno, NV 89521**

**John Moir
9120 Double Diamond Pkwy., Suite 4400
Reno, NV 89521**



WHEREAS, WAVETECH ENTERPRISES, LLC (Respondent Wavetech), is an active domestic limited liability company, formed on October 25, 1999, located at 9120 Double Diamond Pkwy., Suite 4400, Reno, NV 89521. Respondent Wavetech's Nevada Secretary of State Business License Number is NV19991082444. Respondent Wavetech is not now, nor has it ever been, registered with the Nevada Securities Division (Division).

WHEREAS, DEL MAR INVESTMENTS (Respondent Del Mar), is an active domestic corporation, formed on October 25, 1999, located at 9120 Double Diamond Pkwy., Suite 4400, Reno, NV 89521. Respondent Del Mar's Nevada Secretary of State Business License Number is NV19991405373. Respondent Del Mar is not now, nor has it ever been, registered with the Division.

1 **WHEREAS**, JOHN MOIR (Respondent Moir) is the President, Secretary, Treasurer, and
2 Director of Wavetech and Del Mar, and is also located at 9120 Double Diamond Pkwy.

3 **WHEREAS**, the Division filed an action against Respondent on July 12, 2021;

4 **WHEREAS**, pursuant to NRS § 90.330, it is unlawful for any person to transact business in
5 this State as an investment adviser or as a representative of an investment adviser unless that person
6 is licensed or exempt from licensing under the Act;

7 **WHEREAS**, Respondents violated NRS § 90.330 by acting in the capacity of an investment
8 adviser when they received compensation on at least nine separate occasions for their services in
9 making securities transactions in client accounts while not being registered with the Division;

10 **WHEREAS**, Respondent Moir acted in the capacity of a representative of an investment
11 adviser when he signed agreements and agreed to manage client accounts using client account names
12 and passwords to transact business in client accounts;

13 **WHEREAS**, Respondent Moir violated NRS § 90.330 by acting in the capacity of a
14 representative of an investment adviser without being licensed under the Act;

15 **WHEREAS**, Respondents have advised the Division of their desire to resolve the above
16 failure to comply with the requirements of Nevada's Uniform Securities Act, on the terms specified
17 within this ACO;

18 **WHEREAS**, Respondents elect to permanently and expressly waive any right to a hearing
19 and appeal under the Act and/or to seek judicial review under the Nevada Administrative Procedure
20 Act, NRS Chapter 233B, with respect to this Order.

21 **NOW THEREFORE**, the Administrator, pursuant to the Act, hereby enters the following:
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1 **ORDER**

2 Finding the following appropriate and in the public's interest, and on the basis of the
3 foregoing, and Respondents' consent to the entry of this Order,

4 **IT IS HEREBY ORDERED:**

- 5 1. Respondents will cease from violating the Act and will comply with said Act.
- 6 2. Respondents will pay the Nevada Secretary of State a civil penalty in the cumulative amount
7 of \$10,000.00 due upon the schedule set forth below and upon entry of the Respondents'
8 consent to entry of this Administrative Order.
- 9 a. Due upon signing, \$500;
- 10 b. Due on October 1, 2022, \$3,000;
- 11 c. Due on September 1, 2023, \$6,500.

12 All amounts submitted under this section shall be remitted to:

13 **Nevada Secretary of State**
14 **c/o Stacey Roter**
15 **2250 Las Vegas Boulevard North, Suite 400**
North Las Vegas, NV 89030

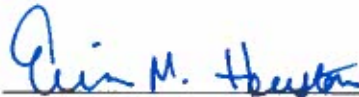
- 16 3. Respondents are permanently barred from acting as an investment advisor, an investment
17 advisor representative, a broker-dealer, or a broker-dealer salesperson in the state of Nevada.
- 18 4. In consideration, the Division will take no further enforcement action based upon the
19 circumstances covered by this investigation and Order and close its administrative
20 investigation of the Respondents in connection with the aforementioned activities.
- 21 5. Nothing in this Order shall be construed as a waiver of the Division's right to investigate
22 and pursue any violations by Respondents in connection with other activity not set forth
23 herein or within the Division's Summary Order to Cease and Desist issued on July 12, 2021.
- 24 6. Nothing in this Order is intended to limit or create for third parties any private remedies
25 against Respondents.
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1 7. This Order shall be effective as of the date on which it is signed by the Administrator as set
2 forth below. The Hearing scheduled for November 4, 2021 is vacated and the Agency
3 Action is dismissed.
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5 **IT IS SO ORDERED.**

6 DATED this 5th day of November, 2021.
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8 **BY ORDER OF THE ADMINISTRATOR**
9 Office of the Secretary of State, Securities Division

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11 ERIN M. HOUSTON
12 Deputy Secretary of State for Securities
13 Securities Administrator
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Respondents specifically acknowledge that a violation of this Order may constitute a felony pursuant to NRS 90.650.

Respondents state that no promise of any kind or nature, other than the consideration set forth in the Order, was made to them to induce them to enter into this Order and that they have entered into this Order voluntarily.



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