

STATE OF NEVADA
OFFICE OF THE SECRETARY OF STATE
SECURITIES DIVISION

2250 LAS VEGAS BOULEVARD NORTH, SUITE 400
LAS VEGAS, NEVADA 89030

In the Matter of:

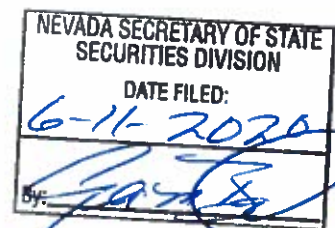
**ABUNDANT WEALTH STRATEGIES
a/k/a ABUNDANT WEALTH CAPITAL
MANAGEMENT, LLC, CRD No. 292295;**

Respondent.

ADMINISTRATIVE CONSENT ORDER

File No. CIC19-135

**TO: ABUNDANT WEALTH STRATEGIES
MELISSA GRUBER ATTANASIO
1140 N. Town Center Drive, Suite 270
Las Vegas, NV, 89144**



WHEREAS, ABUNDANT WEALTH STRATEGIES (AWS), CRD No. 292295, is a registered investment advisory firm located at 1140 N. Town Center Drive, Suite 270, Las Vegas, NV 89144;

WHEREAS, Melissa Gruber Attanasio, CRD No. 2312324, is a registered investment adviser representative of AWS, employed at AWS' offices at 1140 N. Town Center Drive, Suite 270, Las Vegas, NV 89144;

WHEREAS, on October 23, 2019, Compliance/Audit Investigators with the Nevada Securities Division, Office of the Secretary of State (Division), pursuant to the Administrator's authority under NRS § 90.410, conducted an inspection of Respondent to verify compliance with Nevada's Uniform Securities Act (Act);

WHEREAS, based on the facts and circumstances revealed during the course of this inspection, Respondent failed to maintain certain required books and records;

WHEREAS, it is a violation of NAC § 90.387 to fail to maintain books and records;

WHEREAS, Respondent failed enter into written investment advisory contracts with its clients;

WHEREAS, it is a violation of NAC § 90.3864 to fail to enter into written investment advisory contracts with clients;

WHEREAS, Respondent has advised the Division of its desire to resolve the above failures to comply with the requirements of the Act, on the terms specified within this Administrative Consent Order;

WHEREAS, Respondent elects to permanently and expressly waive any right to a hearing and appeal under the Act and/or to seek judicial review under the Nevada Administrative Procedure Act, NRS Chapter 233B, with respect to this Order.

NOW THEREFORE, the Administrator, pursuant to the Act, hereby enters the following:

ORDER

Finding the following appropriate and in the public interest, and on the basis of the foregoing, and Respondent's consent to the entry of this Order,

IT IS HEREBY ORDERED:

1. Respondent will cease from violating the Act and will comply with said Act.
2. Respondent will pay the Nevada Secretary of State a civil penalty in the cumulative amount of Seven Thousand Dollars and No Cents (\$7,000.00), due upon the signing of Respondent's consent to entry of this Administrative Order.
3. Respondent shall submit a check made payable to the Secretary of State in the amount of One Thousand Dollars and No Cents (\$1,000.00) for its fee for the inspection of Respondent's records performed under NRS § 90.410.
4. All fees submitted under this section shall be remitted to:

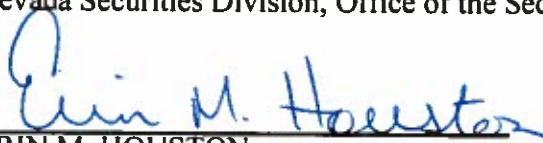
Nevada Secretary of State
c/o Stacey Roter
2250 Las Vegas Blvd. North, Suite 400
North Las Vegas, NV 89030

5. In consideration, the Division will take no further enforcement action based upon the circumstances covered by this inspection and this Order, and close its administrative investigation of the Respondent in connection with the aforementioned activities.

6. Nothing in this Order shall be construed as a waiver of the Division's right to investigate and pursue any violations by Respondent in connection with other activity not set forth herein.
7. Nothing in this Order is intended to limit or create for third parties any private remedies against Respondent.
8. This Order shall be effective as of the date on which it is signed by the Administrator, as set forth below.

Dated this 11th day of June, 2020.

BY ORDER OF THE ADMINISTRATOR
Nevada Securities Division, Office of the Secretary of State


ERIN M. HOUSTON
Deputy Secretary for Securities
Securities Administrator

CONSENT TO ENTRY OF ADMINISTRATIVE ORDER

Abundant Wealth Strategies a/k/a Abundant Wealth Capital Management, LLC (Respondent), by and through its authorized representative, Melissa Attanasio hereby acknowledges that it has been served with a copy of this Administrative Consent Order (ACO), has read the foregoing ACO, is aware of its right to a hearing and appeal in this matter, and has waived the same.

Respondent admits the jurisdiction of the Securities Division, of the Office of the Secretary of State, State of Nevada and consents to entry of this ACO by the Administrator of the Division as settlement of the issues contained in this ACO.

Respondent avers that no promise of any kind or nature, other than the consideration set forth in this ACO, was made to Respondent to induce it to enter into this ACO, and that it has entered into this ACO voluntarily.

Dated this 10th day of June, 2020.

ABUNDANT WEALTH STRATEGIES

By: 

Melissa Gruber Attanasio
Authorized Representative

Reviewed for content by: 

Robert Boeche, Esq.