

STATE OF NEVADA
OFFICE OF THE SECRETARY OF STATE
SECURITIES DIVISION
2250 LAS VEGAS BOULEVARD NORTH, SUITE 400
NORTH LAS VEGAS, NEVADA 89030

In the Matter of:

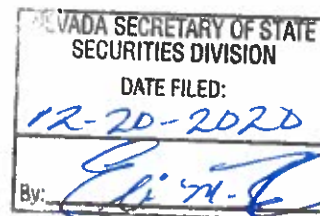
**SILVERBROOK CAPITAL, LLC, CRD
#171054; and JONATHAN MARK
JANUARY, an individual, CRD #6330993**

Respondents.

ADMINISTRATIVE CONSENT ORDER

File No. CIC20-108

**TO: Silverbrook Capital, LLC
c/o Jonathan Mark January, CEO/CCO
3175 E. Warm Springs Road, Suite 130
Las Vegas, NV 89120**



WHEREAS, SILVERBROOK CAPITAL, LLC (Silverbrook), CRD No. 171054, is a Nevada-based registered investment adviser firm located at 3175 E. Warm Springs Road, Suite 130, Las Vegas, NV 89120;

WHEREAS, JONATHAN MARK JANUARY (January), CRD No. 6330993, is a Nevada registered investment adviser representative, employed at Silverbrook's offices at 3175 E. Warm Springs Road, Suite 130, Las Vegas, NV 89120;

WHEREAS, on August 6, 2016, the Division informed Respondents that they had not been updating or filing Form ADV, as required by NRS § 90.380;

WHEREAS, on November 3, 2020, Compliance/Audit Investigators with the Nevada Securities Division, Office of the Secretary of State (Division), pursuant to the Administrator's authority under NRS § 90.410, conducted an inspection of Respondents to verify compliance with Nevada's Uniform Securities Act (Act);

WHEREAS, despite the Division's previous admonishment to update Form ADV, Respondents failed to do so for the years 2016 and 2017, and did so untimely in 2018 and 2019;

WHEREAS, it is a violation of NAC § 90.380 to fail to accurately file annual updating amendments to the Form ADV;

WHEREAS, Respondents elect to permanently and expressly waive any right to a hearing and appeal under the Act and/or to seek judicial review under the Nevada Administrative Procedure Act, NRS Chapter 233B, with respect to this Order.

NOW THEREFORE, the Administrator, pursuant to the Act, hereby enters the following:

ORDER

Finding the following appropriate and in the public interest, and on the basis of the foregoing, and Respondent's consent to the entry of this Order,

IT IS HEREBY ORDERED:

1. Respondents will cease violating the Act and will comply with said Act.
2. Respondents will pay the Nevada Secretary of State a civil penalty in the cumulative amount of Two Thousand Five Hundred Dollars and No Cents (\$2,500.00).
3. Respondents will pay the Nevada Secretary of State examination costs of \$312.88.
4. All civil penalties and fees submitted under this Order shall be remitted to:

**Nevada Secretary of State
c/o Stacey Roter
2250 Las Vegas Blvd. North, Suite 400
North Las Vegas, NV 89030**

5. In consideration of the provisions of this order, the Division will take no further enforcement action based upon the facts and circumstances covered by the inspection and this Order and close its administrative investigation of the Respondents in connection with the aforementioned activities.
6. Nothing in this Order shall be construed as a waiver of the Division's right to investigate and pursue any violations by Respondents in connection with other activity not set forth herein and not contemplated by this order.
7. Nothing in this Order is intended to limit or create for third parties any private remedies against Respondents.

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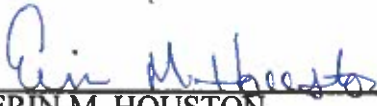
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1 8. This Order shall be effective as of the date on which it is signed by the Administrator, as set forth
2 below.

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4 Dated this 20th day of December, 2020.

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6 **BY ORDER OF THE ADMINISTRATOR**
7 Nevada Securities Division, Office of the Secretary of State

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10 ERIN M. HOUSTON
11 Deputy Secretary for Securities
12 Securities Administrator
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1 **CONSENT TO ENTRY OF ADMINISTRATIVE ORDER**

2 Silverbrook Capital, LLC , by and through its authorized representative, Jonathan Mark January, and
3 Jonathan Mark January, individually (collectively, Respondents), hereby acknowledge that they have been
4 served with a copy of this Administrative Consent Order (ACO), have read the foregoing ACO, are aware of
5 their right to a hearing and appeal in this matter, and have waived the same.
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7 Respondents admit the jurisdiction of the Securities Division, of the Office of the Secretary of State,
8 State of Nevada and consent to the entry of this ACO by the Administrator of the Division as settlement of
9 the issues contained in this ACO.

10 Respondents aver that no promise of any kind or nature, other than the consideration set forth in this
11 ACO, was made to Respondents to induce them to enter into this ACO, and that they have entered into this
12 ACO voluntarily.
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14 Dated this 22nd day of December, 2020.

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16 SILVERBROOK CAPITAL, LLC

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18 By: 
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Jonathan Mark January
Authorized Representative, and in his individual
capacity
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