

**STATE OF NEVADA**  
**OFFICE OF THE SECRETARY OF STATE**  
**SECURITIES DIVISION**

2250 LAS VEGAS BOULEVARD NORTH, SUITE 400  
NORTH LAS VEGAS, NEVADA 89030

**In the Matter of:**

**WISE WEALTH SOLUTIONS, LLC, CRD  
#113805; and THOMAS JAMES WISE,  
CRD #4449431**

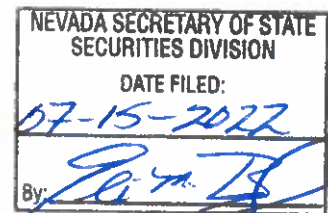
**Respondents.**

**ADMINISTRATIVE CONSENT ORDER**

**File No. CIC21-151**

**TO:**

**Wise Wealth Solutions, LLC  
c/o Thomas James Wise, Chief Compliance Officer  
2401 Wisconsin Dells Drive  
Henderson, NV 89044**



**WHEREAS**, WISE WEALTH SOLUTIONS, LLC (WWS), CRD No. 113805, is a Nevada-based limited liability company, headquartered at 2401 Wisconsin Dells Drive, Henderson, NV 89044;

**WHEREAS** Respondent WWS employed Thomas James Wise (Wise), CRD No. 4449431, is a Nevada registered investment adviser representative, employed at WWS and is the Chief Compliance Officer of WWS;

**WHEREAS**, on June 8, 2021, the Nevada Securities Division (Division), pursuant to the Administrator's authority under NRS § 90.620, conducted an inspection of Respondents to determine their compliance with the Nevada Uniform Securities Act, the Investment Advisers Act of 1940, and the various rules and regulations promulgated thereunder;

**WHEREAS**, the Division alleged that Respondent WWS has not maintained an updated and accurate Form ADV;

**WHEREAS**, Respondents have cooperated with the Division's inspection by responding to inquiries, and providing documentary evidence;

**WHEREAS**, it is a violation of NAC 90.380(3) to fail to file annual updating amendments to the Form ADV;

**WHEREAS**, Respondents have advised the Division of their desire to resolve the above alleged failure to comply with the requirements of Nevada's Uniform Securities Act, on the terms specified within this Administrative Consent Order;

**WHEREAS**, Respondents permanently and expressly waive any right to a hearing and appeal under the Act and/or to seek judicial review under the Nevada Administrative Procedure Act, NRS Chapter 233B, with respect to this Order.

**NOW THEREFORE**, the Administrator, pursuant to the Act, hereby enters the following:

## ORDER

Finding the following appropriate and in the public's interest, and on the basis of the foregoing, and without either admitting or denying the allegations, Respondents consent to the entry of this Order,

**IT IS HEREBY ORDERED:**

1. Respondents will cease from violating the Act and will comply with said Act.
2. Upon notice of entry of this Order, Respondent WWS will make a payment to the Nevada Secretary of State a civil penalty in the cumulative amount of \$2,500.00 due upon signing of the Respondent consents to entry of this Administrative Order.
3. Respondent WWS will hire an external compliance officer to assist with future filings and updates to the Form ADV.
4. Upon notice of entry of this Order, Respondent WWS shall submit a check made payable to the Secretary of State in the amount of \$1,000.00 in investigatory costs.
5. All amounts submitted under this section shall be remitted to:

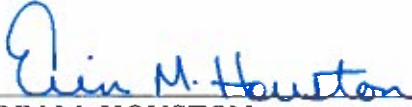
1                   **Nevada Secretary of State**  
2                   **c/o Stacey Roter**  
3                   **2250 Las Vegas Boulevard North, Suite 400**  
4                   **North Las Vegas, NV 89030**

- 5                   6. In consideration, the Division will take no further enforcement action against Respondents  
6                   based upon the circumstances covered by this investigation and Order and close its  
7                   administrative investigation of the Respondents in connection with the aforementioned  
8                   activities.
- 9                   7. Nothing in this Order shall be construed as a waiver of the Division's right to investigate  
10                  and pursue any violations by Respondents in connection with other activity not set forth  
11                  herein.
- 12                8. Nothing in this Order is intended to limit or create for third parties any private remedies  
13                  against Respondents.
- 14                9. This Order shall be effective as of the date on which it is signed by the Administrator as set  
15                  forth below.

16                IT IS SO ORDERED.

17                DATED this 15<sup>th</sup> day of July, 2022.

18                                   **BY ORDER OF THE ADMINISTRATOR**  
19                                   Office of the Secretary of State, Securities Division

20                                     
21                                   \_\_\_\_\_  
22                                   ERIN M. HOUSTON  
23                                   Deputy Secretary for Securities  
24                                   Securities Administrator  
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**CONSENT TO ENTRY OF ADMINISTRATIVE ORDER**

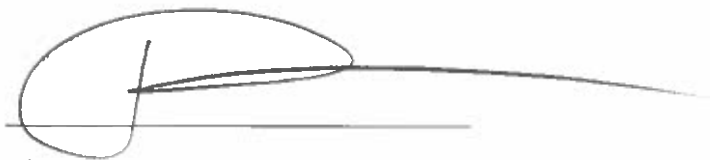
Respondents Wise Wealth Solutions, LLC and Thomas James Wise hereby acknowledge that they have been served with a copy of this Order, have read the foregoing Order, are aware of their rights to a hearing and appeal in this matter, and have waived the same.

Respondents specifically acknowledge that a violation of this Order may constitute a felony pursuant to NRS 90.650.

Respondents admit the jurisdiction of the Securities Division of the Nevada Office of the Secretary of State and consent to entry of this Order by the Administrator of the Division as settlement of the issues contained within this Order.

Respondents state that no promise of any kind or nature, other than the consideration set forth in the Order, was made to them to induce them to enter into this Order and that they have entered into this Order voluntarily.

Dated this 7th day of July, 2022.

A handwritten signature in black ink, appearing to read 'T. Wise', is written over a horizontal line.

Thomas James Wise, individual and as representative  
of Wise Wealth Solutions, LLC

# FLG FLANGAS LAW GROUP

Writer's email: kps@fdlawlv.com

July 11, 2022

## *Via Hand Delivery*

Brett Olin  
Chief of Enforcement, Securities Division  
Office of Secretary of State Barbara K. Cegavske  
2250 Las Vegas Boulevard North, Suite 400  
North Las Vegas, NV 89030  
[bolin@sos.nv.gov](mailto:bolin@sos.nv.gov)

RE: *Thomas J. Wise and Wise Wealth Solutions LLC*  
*Division File #CIC21-151*

NEVADA  
SECRETARY OF STATE

JUL 15 2022

SECURITIES DIVISION

\$ 2500 - revolving  
\$ 1000 - Inspection  
Fee

Dear Mr. Olin:

Please see enclosed (1) Thomas James Wise's original signature, as an individual and as a representative of Wise Wealth Solutions LLC, to the Consent to Entry of Administrative Order; (2) Wise Wealth Solutions, LLC's check no. 1974 in the amount of \$2,500.00 and check no. 1975 in the amount of \$1,000.00 pursuant to the attached Administrative Consent Order. I did not send to Ms. Roter, as the Order is not yet signed by the Division; you can forward when appropriate.

Let me know if you need anything else, or I will await the final signed Order.

Sincerely,  
FLANGAS LAW GROUP

/s/ Kimberly P. Stein

Kimberly P. Stein

KPS:ah

Enclosures

cc: Client (without enclosures)