STATE OF NEVADA OFFICE OF THE SECRETARY OF STATE SECURITIES DIVISION

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1 STATE OF NEVADA WAY, 3RD FLOOR LAS VEGAS, NEVADA 89119

4	LAS VEGAS, NEVADA 89119		
5	In the Matter of:)	
6 7	BRIGHTREE WEALTH MANAGEMENT,) ADMINISTRATIVE CONSENT ORDER	
8	LLC (CRD #304721); and HAROLD ALDONZO GREEN, an individual (CRD #6712300),)) 	
9) File No. <u>CIC24-120</u>)	
10	Respondents.) Algueda Osa ut Oroni	
11	4	Nevada Secretary Of State Securities Division	
12		DEU 2 0 2024	
13	TO: Brightree Wealth Management, I c/o Harold Aldonzo Green	By: Ee m SC	
14	11518 Sailing Stones St.,	ву:	
15	Las Vegas, Nevada 89138		
16	WHEREAS, BRIGHTREE WEALTH MANAGEMENT, LLC, CRD No. 304721, is a		
17	Nevada-based investment advisory firm located at 11518 Sailing Stones St., Las Vegas, Nevada		
18	89138;		
19	WHEREAS, HAROLD ALDONZO GREEN, CRD No. 6712300, (Respondent Green), is a		
20	Nevada-based investor adviser representative for Brightree Wealth Management LLC:		
21	WHEREAS, on June 24, 2024, the Nevada Securities Division (Division), pursuant to the		
22	Administrator's authority under NRS 8 90.410, commenced an inspection of Respondents to		
23	determine their compliance with the Nevada Uniform Securities Act, the Investment Advisors Act of		
24	1940, and the various rules and regulations promulgated thereunder:		
25	WHEREAS Respondents reviewed financial account information by accessing client		
26	personal accounts;		
27	WHEREAS, it is a violation of 303 Nev. Reg. Admin. Regs. Sec. 5(2)(v) as amended by LCE		
20	File No. R018-21 (May 25, 2023) to access client accounts using unique identifying;		

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WHEREAS, Respondents have advised the Division of their desire to resolve the above failures to comply with the requirements of Nevada's Uniform Securities Act, on the terms specified within this Administrative Consent Order;

WHEREAS, Respondents elect to permanently and expressly waive any right to a hearing and appeal under the Act and/or to seek judicial review under the Nevada Administrative Procedure Act, NRS Chapter 233B, with respect to this Order.

NOW THEREFORE, the Administrator, pursuant to the Act, hereby enters the following:

ORDER

Finding the following appropriate and in the public's interest, and on the basis of the foregoing, Respondents consent to the entry of this Order,

IT IS HEREBY ORDERED:

- 1. Respondents will cease from violating the Act and will comply with said Act.
- 2. Respondents will pay the Nevada Secretary of State a civil penalty in the cumulative amount of \$2,500.00.
- 3. Respondents shall submit a check made payable to the Secretary of State in the amount of \$412.18 for its fee for the inspection of Respondents' records performed under NRS § 90.410. The \$412.18 inspection costs are to be submitted to the Division herewith upon signing of this Order.
- 4. All amounts submitted under this section shall be remitted to:

Nevada Secretary of State c/o Stacey Roter 1 State of Nevada Way, 3rd Floor Las Vegas, Nevada 89119

- 5. In consideration, the Division will take no further enforcement action based upon the circumstances covered by this investigation and Order and close its administrative investigation of the Respondents in connection with the aforementioned activities.
- 6. Nothing in this Order shall be construed as a waiver of the Division's right to investigate and pursue any violations by Respondents in connection with other activity not set forth herein.

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- 7. Nothing in this Order is intended to limit or create for third parties any private remedies against Respondents.
- 8. This Order shall be effective as of the date on which it is signed by the Administrator as set forth below.

IT IS SO ORDERED.

DATED this / S day of December, 2024.

BY ORDER OF THE ADMINISTRATOR

Office of the Secretary of State, Securities Division

ERIN M. HOUSTON

Deputy Secretary for Securities

Securities Administrator

CONSENT TO ENTRY OF ADMINISTRATIVE ORDER

Respondents Brightree Wealth Management, LLC and Harold Aldonzo Green, hereby acknowledge being served with a copy of this Order, have read the foregoing Order, are aware of their rights to a hearing and appeal in this matter, and have waived the same.

Respondents specifically acknowledge that a violation of this Order may constitute a felony pursuant to NRS 90.650.

Respondents admit the jurisdiction of the Securities Division of the Nevada Office of the Secretary of State and consent to entry of this Order by the Administrator of the Division as settlement of the issues contained within this Order.

Respondents state that no promise of any kind or nature, other than the consideration set forth in the Order, was made to them to induce them to enter into this Order and that they have entered into this Order voluntarily.

Dated this 15 day of December, 2024.

Brightree Wealth Management, LLC

Harold Aldonzo Green (Individually and as a

representative of Brightree Wealth Management, LLC)